# Transmissive and Transflective Blue-Phase LCDs With Double-Layer IPS Electrodes

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*Abstract*—A double-layer in-plane-switching electrode design is proposed for transmissive and transflective blue-phase liquid crystal displays. The new design significantly reduces the operating voltage in transmissive display by 60%; in transflective displays where the bottom-layer electrodes are made of conductive reflectors, it increases transmittance to 98%, achieves single gamma driving and enhances sunlight readability.

Index Terms—Blue phase, liquid crystal displays, transflective.

## I. INTRODUCTION

**B** LUE-PHASE liquid crystal (BPLC) [1]–[7] has become an attractive candidate for a an attractive candidate for next-generation display technology due to several features: 1) submillisecond gray-to gray response [8], 2) no need for alignment, 3) cell gap insensitivity in in-plane-switching (IPS) mode, and 4) wide viewing angle. The fast response time effectively eliminates motion image blur; moreover, it enables color sequential display using red, green and blue light-emitting diodes, and thus both the optical efficiency and resolution density can be increased by 3 times [9]. The conventional IPS blue-phase liquid crystal display (BPLCD) [4], which utilizes horizontal fringe electric fields, inherently has a wide viewing angle. Since the fringe fields have limited penetration depth into the LC layer, the IPS structure is insensitive to cell gap variation. However, it requires a high operating voltage ( $\sim 50 \text{ V}_{\text{rms}}$ ). In addition, hysteresis [10], residual birefringence, long term stability, etc. need to be addressed before the application of BPLCDs can be practically implemented.

Recently, great efforts have been made to lower the operating voltage of BPLCDs [11]–[23], such as protrusion electrodes [6], [11]–[13], wall-shaped electrodes [15]–[18], corrugated electrodes [19] and enhanced protrusion electrodes [20]. The operating voltage has been reduced from  $\sim 50 V_{\rm rms}$  to less than 10  $V_{\rm rms}$ . However, the nonplanar electrode fabrication is complicated and difficult to realize. A vertical-field-switching (VFS)

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BPLCD [21] was proposed using simple planar electrodes, however, complicated optical films are needed to achieve a wide viewing angle [24], [25]. A BPLCD with an etched substrate [26]–[28] generates double-penetrating fringe fields, resulting in a lowered operating voltage. But due to the limited penetration depth of the single-layer IPS electrodes, the voltage reduction is less effective.

In this paper, we propose a double-layer IPS electrode structure for BPLCDs. Each layer of the planar ITO electrodes generates double-penetrating fields, therefore, the total field penetrating depth is significantly enlarged and the operating voltage is lowered. The electro-optical (EO) properties of the proposed design under different electrode configurations and substrate etching depths are evaluated and analyzed. Moreover, the design is also useful for transflective (TR) BPLCDs [20], [29]–[35] if the bottom electrodes are made of conductive reflectors. The TR BPLCD can achieve high transmittance, single gamma driving and a wide viewing angle.

## II. DEVICE STRUCTURE

Fig. 1(a) depicts the device structure with single-layer IPS electrodes and an etched substrate [26], where w is the electrode width, l the spacing between adjacent electrodes, and hthe etching depth of the substrate. The LC cell is sandwiched between two crossed polarizers. The strip electrodes are orientated 45° in respect to the transmission axes of the polarizers. When a voltage is applied between adjacent electrodes, fringe fields are generated, penetrating into both sides of the substrate. So the BPLC in the etched part of the substrate also contributes to phase retardation and transmittance. Thus the driving voltage is lowered compared to a conventional IPS BPLCD without etching. However, the penetration depth is limited by electrode dimensions. For a single-layer etched IPS BPLCD with  $w = 2 \ \mu m$  and  $l = 4 \ \mu m$ , the downward penetration depth is around 1  $\mu$ m. With a larger electrode dimension,  $w = 5 \ \mu$ m and  $l = 10 \ \mu m$ , the downward penetration depth is  $\sim 2 \ \mu m$ [26].

Fig. 1(b) depicts the proposed structure where there are two layers of planar electrodes. Here  $w_1$  is the width of top-layer electrodes,  $w_2$  the width of bottom-layer electrodes;  $h_1$  is the vertical distance between the top and bottom-layer electrodes,  $h_2$  is the vertical distance between the bottom-layer electrodes and the substrate surface as shown in Fig. 1(b); and l is the spacing between adjacent top-layer electrodes. In this design, both top and bottom-layer electrodes generate fringe fields

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Fig. 1. Device structures of (a) a single-layer etched IPS cell and (b) a double-layer IPS cell.

with double penetration, so that the total phase retardation is increased and the operating voltage significantly reduced.

## III. RESULTS

To evaluate the performances of the proposed structure, we carried out electro-optical simulations using commercial software Dimos (AUTRONIC-MELCHERS GmbH, Germany). The Kerr constant is assumed as 33.1 nm/V<sup>2</sup> ( $\lambda = 550$  nm), the dielectric constants of the BPLC are  $\varepsilon_{r//} = 311$  and  $\varepsilon_{r\perp} = 15$ , respectively, as reported in [36]. The saturation birefringence of the BPLC mixture used for simulation is 0.14.

Fig. 2 shows the voltage-dependent transmittance (VT) curves with different electrode configurations. The black curve is for a single-layer etched IPS structure with  $w = 2 \ \mu m$  and  $l = 4 \ \mu m$ . From [26], we learn that as long as the etching depth is larger than the apparent penetration depth (1  $\ \mu m$  with  $w = 2 \ \mu m$ ,  $l = 4 \ \mu m$ ), the VT curve is insensitive to h. Hence, here a large etching depth  $h = 2 \ \mu m$  is chosen. The operating voltage V<sub>p</sub> is around 13.6 V<sub>rms</sub>, which is lower than that in a conventional IPS cell with the same electrode dimension (23 V<sub>rms</sub>, not shown in the figure).

The other VT curves are for the double-layer-electrode structure. The legend "w2\_2 l4 h1\_0.5" designates a configuration with  $w_1 = w_2 = 2 \,\mu\text{m}$ ,  $l = 4 \,\mu\text{m}$ ,  $h_1 = 1 \,\mu\text{m}$  and  $h_2 = 0.5 \,\mu\text{m}$ . In such a structure,  $h_1$  confines both the top and bottom-layer fringe fields, while  $h_2$  only confines the bottom fields. For different configurations,  $h_1$  and  $h_2$  vary but are kept at a 2:1 ratio. As  $h_1$  and  $h_2$  increase, the operating voltage decreases accordingly. When  $h_1 = 2 \,\mu\text{m}$ , and  $h_2 = 1 \,\mu\text{m}$ ,  $V_p$  drops to below 10  $V_{\rm rms}$ , with no noticeable change in transmittance. When



Fig. 2. Simulated VT curves of the BPLC cells with  $w = w_1 = w_2 = 2 \ \mu m$ and  $l = 4 \ \mu m$ .



Fig. 3. Simulated VT curves of the BPLC cells with  $w = w_1 = w_2 = 5 \ \mu m$ and  $l = 10 \ \mu m$ .

 $h_1 = 3 \ \mu m, h_2 = 1.5 \ \mu m, V_p$  is further decreased and saturates at 9  $V_{rms}$ .

Fig. 3 shows the VT curves of several configurations with  $w = w_1 = w_2 = 5 \ \mu \text{m}$  and  $l = 10 \ \mu \text{m}$ . For the single-layer structure, h is 2.5  $\mu$ m, which is larger than the corresponding penetrating depth ( $\sim 2 \ \mu \text{m}$ ). A similar trend in operating voltage and transmittance is observed as the etching depths of the double-layer structure increase. A larger dimension of w + l results in a larger penetration depth. When  $h_1 = 5 \ \mu \text{m}$ ,  $h_2 = 2.5 \ \mu \text{m}$ ,  $V_p$  drops to a saturation value ( $\sim 15 \ V_{rms}$ ).

In Fig. 4, the electric field intensity distributions in the BPLC layer are plotted at the operation voltage 9.8 V<sub>rms</sub> for a BPLC cell with  $w_1 = w_2 = 2 \ \mu m$ ,  $l = 4 \ \mu m$ ,  $h_1 = 2 \ \mu m$  and  $h_2 = 1 \ \mu m$ . The low operating voltage could reduce the peak electric field which occurs near the edges of the electrodes, and thus help suppress electrostriction effect and hysteresis [37].

In Fig. 5, the impact of the dielectric constant of the substrate material on the operating voltage is studied. The BPLC cell parameters are kept as  $w = w_1 = w_2 = 2 \ \mu m$ ,  $l = 4 \ \mu m$ ,  $h_1 = 2 \ \mu m$ , and  $h_2 = 1 \ \mu m$ , and the dielectric constant of the substrate  $\varepsilon_r$  varies. As  $\varepsilon_r$  is enlarged 10 times from 3.8 to 38, a small voltage reduction is achieved from 9.8 V<sub>rms</sub> to 9.6 V<sub>rms</sub>. In [38], higher-dielectric insulating materials with  $\varepsilon_r = 80$  and 120 have been adopted. As shown in Fig. 5, when  $\varepsilon_r$  further increases, the operating voltage further decreases as well, but the voltage reduction is not large even at a high  $\varepsilon_r = 120$ .

We also study the EO performances of the proposed design with different  $w_1/w_2$  ratios. First, we keep the top electrode width  $w_1$  and top spacing l fixed, but vary the bottom electrode width  $w_2$ . As shown in Fig. 6(a), for those with the same





Fig. 5. Simulated VT curves of BPLC cells with  $w = w_1 = w_2 = 2 \ \mu m$ ,  $l = 4 \ \mu m$ ,  $h_1 = 2 \ \mu m$ , and  $h_2 = 1 \ \mu m$  as the dielectric constant of the substrate varies.



Fig. 4. Simulated horizontal ( $|\mathbf{E}_{\mathbf{x}}|$ ) and vertical ( $|\mathbf{E}_{\mathbf{z}}|$ ) electric field distributions in the BPLC layer (electric field in the substrates not shown). The cell parameters are  $w = w_1 = w_2 = 2 \ \mu \text{m}$ ,  $l = 4 \ \mu \text{m}$ ,  $h_1 = 2 \ \mu \text{m}$ , and  $h_2 = 1 \ \mu \text{m}$ . (a)  $|\mathbf{E}_{\mathbf{x}}|$ . (b)  $|\mathbf{E}_{\mathbf{z}}|$ .

 $w_1 = 2 \ \mu m$ , the one with the smallest  $w_2(w_2 = 1 \ \mu m)$  has the highest operating voltage (10.7 V<sub>rms</sub>) and highest transmittance; and the one with the largest  $w_2(w_2 = 3 \ \mu m)$  has the lowest voltage (9.1 V<sub>rms</sub>) and lowest transmittance. For such a structure, a larger  $w_2$  indicates smaller bottom spacing, hence stronger fringe fields are generated in the LC region between the bottom-layer electrodes. As a result, a lower voltage is required to achieve sufficient total phase retardation. On the other hand, as  $w_2$  gets larger, more substrate material takes space, leaving a smaller effective LC region between the bottom-layer electrodes. Thus, the average transmittance contributed by both top and bottom LC layers becomes lower.

Fig. 6(b) shows the VT curves of the structure with the same  $w_2$ ,  $w_1 + l$ , but different  $w_1$ . A similar trend in the variation of operating voltage and transmittance is observed. However, compared to the previous case, the variation of the top-layer

Fig. 6. Simulated VT curves of BPLC cells (a) with  $w_1 = 2 \mu m$  and different  $w_2$  and (b) with  $w_2 = 2 \mu m$  and different  $w_1$ .

electrode width  $w_1$  seems to have a more significant impact on the operating voltage. The peak voltage is reduced to  $8.7 V_{\rm rms}$ with  $w_1 = 3 \ \mu m$ , and increased to 11.8 V<sub>rms</sub> with  $w_1 = 1 \ \mu m$ . The more pronounced change in the voltage may arise from the fact that, the top-layer electrodes play a predominant role in the EO switching. The reason is explained as follows. For a single layer etched electrode structure, since the dielectric constant of the BPLC is much larger than that of the substrate [36], the electric potential changes more rapidly in the substrate than in the BPLC layer. Therefore, the electric fields penetrate deeper upwards into the BPLC layer ( $\sim 2 \mu m$ ) [39], and penetrate sallower downwards ( $\sim 1 \ \mu m$ ) [26]. As in the double-layer structure, the top-layer electrodes have a larger total penetration depth  $(3 \ \mu m = 2 \ \mu m + 1 \ \mu m)$  than the bottom-layer electrodes  $(2 \ \mu m = 1 \ \mu m + 1 \ \mu m)$ , therefore, the top-layer electrode dimension has a more pronounced influence on the operating voltage than the bottom layer.



Fig. 7. Isocontrast plots of a proposed BPLC cell structure (a) without and (b) with a biaxial compensation film, respectively. The cell parameters are  $w = w_1 = w_2 = 2 \ \mu m$ ,  $l = 4 \ \mu m$ ,  $h_1 = 2 \ \mu m$ , and  $h_2 = 1 \ \mu m$ .

By compensating the polarization rotation effect of BPLC, the display could achieve an excellent dark state and a high contrast ratio of over ~4000:1 can be achieved easily [40]. Here we used broadband wide-view circular polarizers [41] to eliminate the effect of polarization rotation. Fig. 7(a) and (b) plots the isocontrast plots of a BPLC cell without and with a biaxial compensation film, respectively. The cell parameters are  $w = w_1 = w_2 = 2 \ \mu m$ ,  $l = 4 \ \mu m$ ,  $h_1 = 2 \ \mu m$ , and  $h_2 = 1 \ \mu m$ .

The fabrication of the double-layer structure could be realized by adopting multiple photo mask process architecture and slit photolithography. The electrode patterns could be obtained in a fashion similar to [42]–[44]. The substrate can further be etched to create depths as in [28]. Fig. 8 shows the flowchart of a possible fabrication approach.

The proposed structure can also be used in transflective BPLCDs which greatly enhance sunlight readability outdoors. As shown in Fig. 9, the top layer IPS electrodes are made of transparent ITO electrodes, while the bottom-layer IPS electrodes are made of conductive reflectors. Therefore, the LC above the reflective electrodes works in the reflective (R) mode, while the other operates in the transmissive (T) mode



Fig. 8. Flowchart of one possible fabrication approach.



Fig. 9. Device structure of a transflective BPLCD using double-layer IPS electrodes.



Fig. 10. Voltage-dependent transmittance and reflectance curves of a transflective BPLCD.

as shown in the figure. In the T region, there are fringe fields with large horizontal component generated by both layers of electrodes, thus the optical phase retardation is larger than that in the R region at the same voltage. On the other hand, in the R region, the fringe fields are mainly generated by the top-layer electrodes, so the accumulated phase retardation is relatively small. Since ambient light passes through R region twice, while the backlight travels through T region only once, the optical phase retardations are matched between the two regions.

Fig. 10 shows the voltage-dependent transmittance and reflectance curves with  $w_1 = 2 \ \mu m$ ,  $w_2 = 4 \ \mu m$ ,  $l = 5 \ \mu m$ ,  $h_1 = 1.8 \ \mu m$  and  $h_2 = 0$ . By converting the most effective region into T region, the transmittance is increased to 98%. At 10.8 V<sub>rms</sub>, both transmittance and reflectance reach the peak, and from the inserted figure, one can see that the normalized transmittance and reflectance match very well with each other, indicating single gamma driving is enabled. By using biaxial compensation films and wide-angle circular polarizers, a wide viewing angle could be achieved for both T and R regions [20], [29].

## IV. CONCLUSION

We have proposed a double-layer IPS electrode structure for BPLCDs. In transmissive BPLCDs, the proposed structure could reduce the operating voltage by 30% compared with a single-layer etched IPS structure, and by 60% compared with a conventional IPS structure, whereas a similar transmittance is maintained. In transflective BPLCDs, the proposed structure could achieve high transittance (~98%), low voltage, well matched transmittance and reflectance, and good sunlight readability.

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